



IFW

Docket No.: SON-2599  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Patent Application of:

Tetsu Watanabe et al

Confirmation No. 5399

Application No.: 10/501,159

Group Art Unit: 2655

Filed: July 13, 2004

Examiner: Not Yet Assigned

For: OPTICAL HEAD APPARATUS AND  
OPTICAL RECORDING/REPRODUCING  
APPARATUS

**SECOND INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I.

Further to the IDS at filing submitting documents for the ISR for this application, the Applicant, through its representatives and attorneys and in accordance with the requirements of 37 CFR §§ 1.56 and MPEP 609, hereby brings to the attention of the Examiner the three additional Japanese language references and their translations as noted on the attached Form PTO-1449, copies of which are enclosed. This submission is timely in that it is made prior to a first action on the merits of this application.

This will also certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Specifically, these three documents were cited in an Action dated July 4, 2006 in connection with the Applicant's corresponding Japanese application. Their relevance can be determined from the English language translation of each of the documents and from their respective Abstracts. Each was said to be relevant to pending claims 1 to 20 in the corresponding Japanese Action for which a translation is not readily available.

Please take these additional documents into account in the initial examination of this application, together with the ISR and the references there cited, and the Background of the Invention at pages 1 to 13 referring to Figs. 19 to 21, and make their consideration of record.

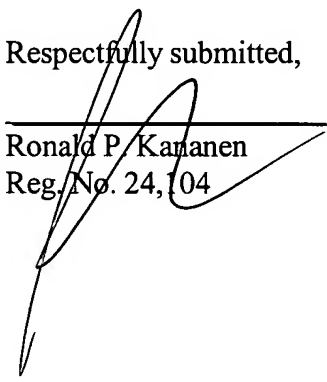
II.

Copies of the two Japanese unexamined publications cited at page 11 of the specification as filed are also provided and identified on a second PTO-1449 sheet, with their respective abstracts. Their relevance can be seen by referring to the Background of the Invention in the specification as filed, at pages 1 to 13.

Dated: September 27, 2006

Rader, Fishman & Grauer PLLC  
1233 20<sup>th</sup> Street  
Washington, D.C. 20036  
Telephone: (202) 955-3750  
Facsimile: (202) 955-3751  
Customer No. 23353

Respectfully submitted,



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Ronald P. Kananen  
Reg. No. 24,104



PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/501,159-Conf. #5399
				Filing Date	July 13, 2004
				First Named Inventor	Tsutomu Watada
				Art Unit	2655
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SON-2599

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA		2001-297457	10-26-2001	Sony Corporation		√
	BB		2001-052367	02-23-2001	Olympus Optical Co. Ltd		√
	BC		61-273755	12-04-1986	Matsushita Electric Ind		√

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Japanese Patent Application 2002-041714, Office Action issued on 7-4-2006	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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PTO/SB/08a/b (07-06)

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		Country Code <sup>3</sup>	Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	07	065383	03-10-1995	FUJI XEROX CO LTD		√
	BB	07	057284	03-03-1995	FUJI XEROX CO LTD		√

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Examiner Signature		Date Considered	
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